

10/534435

JC14 Re PCT/PTO 10 MAY 2005

PATENT #3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GASSENMEIER, Klaus, et al. Docket No.: GIV.P20715  
Serial No: New United States National Phase Examiner: N/A  
Application

International Application No. PCT/CH2003/000784 International Filing Date: 26 Nov 2003

For: Flavor and Fragrance Compounds

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5-10-2005  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
FILED CONTEMPORANEOUSLY WITH THE APPLICATION****(37 C.F.R. §1.97(b)(1))**

Pursuant to 37 CFR §1.97 encouraging the filing of an Information Disclosure Statement, Applicants submit this paper in compliance with their duty of disclosure as set forth in 37 C.F.R. §1.56.

Applicants wish to introduce art having some relevance to the present application, which has been listed on attached Form PTO/SB/08A. This form includes three (3) U.S. Patent documents, one (1) foreign patent document and five (5) literature items that were provided as a result of the PCT International Search Report dated February 26, 2004, attached herewith. No representation is made that a specific search has been made by Applicants, that the information is material to the claimed subject matter, or that the information represents the only or the best information.

Applicants do not admit that any of the information provided is necessarily prior to their invention, but rather it is information of which Applicants are aware and believe should be provided to the Office in fulfillment of the duty of disclosure. Any question that may arise

regarding the priority of a specific document shall be resolved during prosecution.

The information provided is in the English language. The referenced art is being cited for the express purpose of providing the Patent and Trademark Office with the opportunity to make an evaluation and to arrive at an independent assessment of materiality of each document, if any, to the examination of this application.


It is noted that the Office has waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, copies of cited U.S. patents are not being submitted with this Information Disclosure Statement.

Applicants respectfully request that the information cited be made of record in the subject case.

#### IDENTIFICATION OF TIME OF FILING THE INFORMATION DISCLOSURE STATEMENT

The Information Disclosure Statement submitted herewith is being filed contemporaneously with the filing of the present application. No certification or fee is due with this filing of the Information Disclosure Statement. 37 C.F.R. §1.97(b)(1).

Respectfully submitted,



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Customer No. 23575

10 May 2005  
Date

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known** 10/534435

Application Number	New U.S. National Phase Application
Filing Date	May 10, 2005
First Named Inventor	GASSENMEIER et al.
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	GIV.P20715

Sheet

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of

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,280,011	07-21-1981	Desimone	
		US-4,781,762	11-01-1988	Byrne	
		US-4,296,138	10-20-1981	Boden	
		US-			
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		GB 661 184 A	11-21-1951	Distillers Company		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>	New U.S. National Phase Application
				<b>Filing Date</b>	May 10, 2005
				<b>First Named Inventor</b>	Gassenmeier et al.
				<b>Art Unit</b>	N/A
				<b>Examiner Name</b>	N/A
(Use as many sheets as necessary)				<b>Attorney Docket Number</b>	GIV.P20715
<b>Sheet</b>	2	<b>of</b>	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		SU MIN OON: "Hydrolysis of Aldal Acetals"; Journal of Organic Chemistry, Vol. 47, No. 7, 1982, pages 1166-1171. XP002270025, Easton, US, Cited in the application, Table V, Entries 1-4.	
		M GOODMAN: "Conformations of Polyacetaldehyde Model Compounds via Dipole Moment Studies and Minimum Potential Energy Calculations"; Macromolecules, Vol. 1, No. 3, 1968, Pages 223-232.	
		DATABASE CROSSFIRE BEILSTEIN ' Online! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, FRANKFURT, AM MAIN, Germany, Database-Accession No. 1703885, XP002270175, Abstract; and LIEBIGS ANNALEN DER CHEMIE, Vol. 218, 1883, Page 13, Weinheim, Germany.	
		DATABASE CROSSFIRE BEILSTEIN ' Online! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, FRANKFURT, AM MAIN, Germany, Database-Accession No. 1750075, XP002270176, Abstract; and LIEBIGS ANNALEN DER CHEMIE, Vol. 218, 1883, Page 13, Weinheim, Germany.	
		R.S. DESIMONE: "Aldehyde Generators for Flavors" Perfumer & Flavorist, Vol. 11, 1987, pages 15-26. XP008027508	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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